

FORM PTO-1390 OFFICE (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				32860-000263/US	
				U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	
INTERNATIONAL APPLICATION NO.		INTERNATIONAL FILING DATE		PRIORITY DATE CLAIMED	
PCT/DE00/01980		June 20, 2000		June 24, 1999	
TITLE OF INVENTION METHOD AND FEED DEVICE FOR EFFECTING THE ADVANCE MOVEMENT OF AT LEAST ONE TOOL SUPPORT THAT ROTATES AROUND A ROTATIONALLY SYMMETRICAL PART					
APPLICANT(S) FOR DO/EO/US Michael LUMM; Jürgen SANDKUHL; and Alfred WAGENFELD					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none">1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). WO 01/00357 A3b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none">a. <input checked="" type="checkbox"/> is transmitted herewith.b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4)7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).<ol style="list-style-type: none">a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).b. <input type="checkbox"/> have been transmitted by the International Bureau.c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.d. <input checked="" type="checkbox"/> have not been made and will not be made.8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11. to 20. below concern document(s) or information included:					
<ol style="list-style-type: none">11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98-1449 and International Search Report (PCT/ISA/210) in German with Five (5) references.12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.15. <input checked="" type="checkbox"/> A substitute specification.16. <input checked="" type="checkbox"/> A change of power of attorney and/or address letter.17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).20. <input checked="" type="checkbox"/> Other items or information:<ol style="list-style-type: none">1.) One (1) sheet of Formal Drawings2.) Article 34 Amended Specification and Claims					

U.S. APPLICATION NO (if known, see 37 CFR 1.5)

NEW 070195151

INTERNATIONAL APPLICATION NO.

PCT/DE00/01980

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32860-000263/US

21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO. **\$1,040.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	\$890.00
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International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.	\$710.00
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International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)	\$690.00
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International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....	\$100.00
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ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
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Total Claims	20 - 20 =	0	X \$18.00
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Independent Claims	2 - 3 =	0	X \$80.00
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MULTIPLE DEPENDENT CLAIM(S) (if applicable)	None	+ \$270.00
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TOTAL OF ABOVE CALCULATIONS =

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

SUBTOTAL =

Processing fee of **\$130.00** for furnishing the English translation later than ☐20 ☐30 months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

TOTAL FEES ENCLOSED =

Amount to be: refunded	\$
charged	\$

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account. No. 08-0750 in the amount of \$1,020.00 to cover the above fees.
A triplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-0750.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

Harness, Dickey & Pierce, P.L.C – Customer No. 30596
Post Office Box 8910
Reston, Virginia 20195

Date: 12/17/01

By Donald J. Daley, #34,313

10/019551
531 Rec'd PCT 21 DEC 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: ET756563908US

Date of Deposit: December 21, 2001

Applicants: Michael LUMM, Jurgen SANDKUHL, Alfred WAGENFELD
Int'l Application No.: PCT/DE00/01980
Application No.: NEW
Filed: December 21, 2001
For: METHOD AND FEED DEVICE FOR EFFECTING THE
ADVANCE MOVEMENT OF AT LEAST ONE TOOL
SUPPORT THAT ROTATES AROUND A ROTATIONALLY
SYMMETRICAL PART
Docket No.: 32860-000263/US

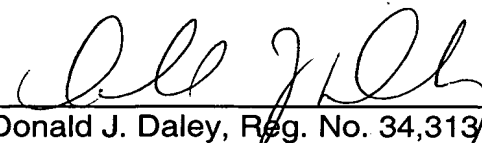
Box PCT
Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

EXPRESS MAIL TRANSMITTAL

The following papers are being deposited with the United States Postal Service Express Mail Post Office To Addressee and addressed for receipt by the United States Patent and Trademark Office:

PCT Transmittal Letter (3 copies)
Amended Letter
Preliminary Amendment
Marked-Up Version of Specification
Substitute Specification (5 pages)
Information Disclosure Statement, PTO-1449 and 5 References
Copy of International Application as filed
English Language Original Specification (13 pages)
One (1) sheet of Formal Drawings

HARNESS, DICKEY & PIERCE, P.L.C.
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IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicants: Michael LUMM; Jürgen SANDKUHL; and Alfred WAGENFELD
Int'l Application: PCT/DE00/01980
Application No.: NEW
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For: METHOD AND FEED DEVICE FOR EFFECTING THE ADVANCE
MOVEMENT OF AT LEAST ONE TOOL SUPPORT THAT
ROTATES AROUND A ROTATIONALLY SYMMETRICAL PART

LETTER

BOX PCT APPLICATION

Assistant Commissioner for Patents
Washington, DC 20231

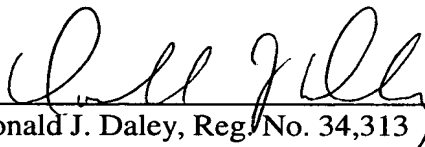
December 21, 2001

Sir:

The PTO is requested to use the amended sheets/claims attached hereto (which correspond to Article 34 amendments or to claims attached to the International Preliminary Examination Report) during prosecution of the above-identified national phase PCT application.

Respectfully submitted,

HARNESS, DICKY & PIERCE, P.L.C

By: 
Donald J. Daley, Reg. No. 34,313

DJD:kna

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